



CREDIT APPLICATION

Caterpillar Financial Services Philippines Inc.
13 Economia Street
1110 Bagumbayan, Quezon City
Manilla, Philippines
Tel: (+632) 8683 2001 to 09
Fax : (+632) 8637 2910

\* REQUIRED

INDIVIDUAL / SOLE PROPRIETORSHIP

Thank you for your interest in applying for financing with us. Please print your answers clearly and ensure that the form is properly and completely filled-out. Please indicate "N/A" / not applicable for your blank answers. Failure to provide sufficient and accurate information in this Credit Application Form may result in delays in the application process.

1 CUSTOMER INFORMATION

\* Complete Name: Last Name First Name Middle Name Suffix, if any
\* Date of Birth: \* Place of Birth: \* Citizenship: Filipino Foreigner, ACR No:
\* Gender: \* Civil Status: \* Mobile No.:
\* Tax Identification No.: \* SSS/GSIS No.: \* Email Address:
\* Mother's Maiden Name: \* Father's Name:
\* Permanent Address:
\* Mailing Address:
Same as Permanent Address

2 SPOUSE INFORMATION

\* Complete Name: Last Name First Name Middle Name Suffix, if any
\* Date of Birth: \* Place of Birth: \* Citizenship: Filipino Foreigner, ACR No:
\* Gender: \* Civil Status: \* Mobile No.:
\* Tax Identification No.: \* SSS/GSIS No.: \* Email Address:
\* Mother's Maiden Name: \* Father's Name:
\* Permanent Address:
I hereby confirm that I will stand as guarantor for this Credit Application.
\* Signature: \* Date:

3 BUSINESS INFORMATION

\* Complete Business Name: \* Tax Identification No.:
\* Date of Registration: \* Business Registration No.: \* Nature of Business:
\* Business Address:
\* Business Email Address: \* Phone/Mobile No.:

4 AUTHORISED CONTACT PERSONS

You are authorised to contact the following persons using the contact details below, or such other persons that we notify you in writing from time to time. We represent and warrant that we will obtain or have obtained their consent for you to process their personal data and to contact them:

\* Contact Person (1): \* Position/Title:
\* Email Address: \* Contact Number:
\* Contact Person (2): \* Position/Title:
\* Email Address: \* Contact Number:
\* Contact Person (3): \* Position/Title:
\* Email Address: \* Contact Number:

5 DATA PRIVACY NOTIFICATION

Each Customer and each Guarantor, by signing below in Section 6, acknowledges that she/he has read the Data Privacy Notification and Consent enclosed, and gives her/his consent thereto. If we do not receive your signed consent, we may, in our sole discretion determine that we are unable to process your application and/or offer you our services. A copy of our Data Privacy Notification and Consent can be found at https://www.catfinancial.com/en\_PH/legal-notice/data-privacy.html.

6 SIGNATURES

Required signatures: Each signer below represents and warrants that CFSP is entitled to rely on the signature showing below as his/her specimen signature in legal documents that such person is signing for. By signing on this credit application form, the undersigned hereby confirms that the provided information shall form part of the loan document forms and the signatures herein are genuine; and that all information provided is true and accurate.

\* BORROWER / APPLICANT:
Signature : Date:
Printed Name : Position/Title:

**\* PERSONAL GUARANTOR(S):**

**GUARANTOR 1**

\* Relationship to Applicant:  Parent  Sibling  Others (please specify): \_\_\_\_\_

\* Complete Name: \_\_\_\_\_  
Last Name First Name Middle Name Suffix, if any

\* Date of Birth: \_\_\_\_\_ \* Place of Birth: \_\_\_\_\_ \* Citizenship:  Filipino  Foreigner, ACR No: \_\_\_\_\_

\* Gender: \_\_\_\_\_ \* Civil Status: \_\_\_\_\_ \* Mobile No.: \_\_\_\_\_

\* Tax Identification No.: \_\_\_\_\_ \* SSS/GSIS No.: \_\_\_\_\_ \* Email Address: \_\_\_\_\_

\* Mother's Maiden Name: \_\_\_\_\_ \* Father's Name: \_\_\_\_\_  
Last, First, Middle Name Last, First, Middle Name

\* Permanent Address: \_\_\_\_\_  
(No. / Street / Subdivision / Barangay / City / Province or Region / Zip Code)

\* Signature: \_\_\_\_\_ \* Date: \_\_\_\_\_

**GUARANTOR 2**

\* Relationship to Applicant:  Parent  Sibling  Others (please specify): \_\_\_\_\_

\* Complete Name: \_\_\_\_\_  
Last Name First Name Middle Name Suffix, if any

\* Date of Birth: \_\_\_\_\_ \* Place of Birth: \_\_\_\_\_ \* Citizenship:  Filipino  Foreigner, ACR No: \_\_\_\_\_

\* Gender: \_\_\_\_\_ \* Civil Status: \_\_\_\_\_ \* Mobile No.: \_\_\_\_\_

\* Tax Identification No.: \_\_\_\_\_ \* SSS/GSIS No.: \_\_\_\_\_ \* Email Address: \_\_\_\_\_

\* Mother's Maiden Name: \_\_\_\_\_ \* Father's Name: \_\_\_\_\_  
Last, First, Middle Name Last, First, Middle Name

\* Permanent Address: \_\_\_\_\_  
(No. / Street / Subdivision / Barangay / City / Province or Region / Zip Code)

\* Signature: \_\_\_\_\_ \* Date: \_\_\_\_\_

**GUARANTOR 3**

\* Relationship to Applicant:  Parent  Sibling  Others (please specify): \_\_\_\_\_

\* Complete Name: \_\_\_\_\_  
Last Name First Name Middle Name Suffix, if any

\* Date of Birth: \_\_\_\_\_ \* Place of Birth: \_\_\_\_\_ \* Citizenship:  Filipino  Foreigner, ACR No: \_\_\_\_\_

\* Gender: \_\_\_\_\_ \* Civil Status: \_\_\_\_\_ \* Mobile No.: \_\_\_\_\_

\* Tax Identification No.: \_\_\_\_\_ \* SSS/GSIS No.: \_\_\_\_\_ \* Email Address: \_\_\_\_\_

\* Mother's Maiden Name: \_\_\_\_\_ \* Father's Name: \_\_\_\_\_  
Last, First, Middle Name Last, First, Middle Name

\* Permanent Address: \_\_\_\_\_  
(No. / Street / Subdivision / Barangay / City / Province or Region / Zip Code)

\* Signature: \_\_\_\_\_ \* Date: \_\_\_\_\_

**\* CORPORATE GUARANTOR(S):**

**CORPORATE GUARANTOR**

\* Complete Business Name: \_\_\_\_\_ \* Tax Identification No.: \_\_\_\_\_

Sole Proprietorship  Partnership  Corporation  Others: \_\_\_\_\_

\* Date of Registration: \_\_\_\_\_ \* Business Registration No.: \_\_\_\_\_ \* Nature of Business: \_\_\_\_\_

\* Business Address: \_\_\_\_\_  
(No. / Street / Subdivision / Barangay / City / Province or Region / Zip Code)

\* Business Email Address: \_\_\_\_\_ \* Phone/Mobile No.: \_\_\_\_\_

Authorized Signatory (1) : \_\_\_\_\_ Position / Title : \_\_\_\_\_ Date : \_\_\_\_\_  
Signature over printed name

Authorized Signatory (2) : \_\_\_\_\_ Position / Title : \_\_\_\_\_ Date : \_\_\_\_\_  
Signature over printed name

\* Please fill out a separate sheet for additional guarantors \*

**⑦ DEALER ACKNOWLEDGEMENT**

I certify that the requirements of the Customer Identification Protocols and Required Contract Documentation including face-to-face meetings and witnessing of signatures in this Credit Application Form are satisfied.

Dealer Account Manager: \_\_\_\_\_  
Signature over Printed Name / Title Date

**⑧ FOR CFSPi'S INTERNAL USE ONLY**

**CUSTOMER VERIFICATION**

Verification Conducted By: \_\_\_\_\_  
Signature over Printed Name / Title Date

**9 DOCUMENTS**

<b>Documentary Requirements</b>		<b>New</b>	<b>Existing</b>
1	Copy of unexpired DTI Registration Papers & Company Profile	Yes	
2	Copy of Customer's Business Permit/s and Certificate of Business Name Registration	Yes	
3	Copy of latest Contractor's License/Grading issued by Philippines Contractors Accreditation Board	Yes	Yes
4	For Borrower: Copy of TIN Card and any two of the following IDs: Passport, Driver's License, SSS/UMID, GSIS, Senior Citizen card, Voter's ID, PRC ID. All IDs to be submitted must be valid and unexpired.	Yes	Yes
5	For Guarantor: Copy of TIN Card and any two of the following IDs: Passport, Driver's License, SSS/UMID, GSIS, Senior Citizen card, Voter's ID, PRC ID. All IDs to be submitted must be valid and unexpired.	Yes	Yes
6	Copy of past three (3) years BIR-stamped and received copy of Income Tax Return (ITR) Certificate and Audited Financial Statements with Notes to Financials. If available, past 3 years In-house Financial Statements	Yes	Yes
7	Copy of latest Management / Interim Account, if audited account is > 6 months old	Yes	Yes
8	Copy of latest minimum 3 months Bank Statements of major depository or operating bank accounts	Yes	Yes
9	List of ongoing and future projects (see format attached herewith)	Yes	Yes
10	Copy of Contracts / Notice To Proceed / Notice of Awards for current, ongoing, & newly awarded projects	Yes	Yes
11	Documentary evidence confirming settlement of legal suits, if applicable	Yes	Yes
12	Completely filled up and signed Credit Application Form	Yes	Yes

**10 CFSPI CONTACT DETAILS**

**Anne Sherilla Carpio-Peñaranda**

Territory Sales Manager

Direct Line: (+632) 683 2001

Mobile: (+63) 917 587 7383

Fax: (+632) 8637 2910

Email: Shei.Penaranda@cat.com

**Ivan Pumaren**

Territory Manager

For Visayas and Northern

Mindanao Regions

Mobile: (+63) 917 329 1425

Email: Ivan.Pumaren@cat.com

**Thomas Jefferson Tuvera**

Sales Support Representative

Direct Line: (+632) 683 2004

Mobile: (+63) 917 652 2351

Fax: (+632) 637 2910

Email: Thomas.Tuvera@cat.com

**Gil Fitzroy Khu**

Customer Service Representative

Direct Line: (+632) 8683 2007

Mobile: (+63) 917 302 9320

Fax: (+632) 8637 2910

Email: Gil.Khu@cat.com

**CATERPILLAR FINANCIAL SERVICES PHILIPPINES INC.  
DATA PRIVACY NOTIFICATION AND CONSENT**

**1. Collection and Processing of Personal Data**

We hereby inform you that we, Caterpillar Financial Services Philippines Inc ("**Company**", "**we**", "**our**" or "**us**") as data user, have or will collect, record, hold, store, use, disclose and/or process (collectively referred to as "**Process**") for purposes of processing your application for financing and the provision of our financial services to you ("**Financing**"), one or all of the following categories and / or types of personal information about you from which you can be identified or identifiable from, including but not limited to:

- (a) master data including but not limited to name, identity card number, date of birth, gender, citizenship, marital status, nationality, race, ethnic origin, identification card / passport details, qualifications, occupation, employer, former employer(s), photographs or other images, and voice recordings;
- (b) contact details including but not limited to home address, home phone number and mobile number, email address, work address, work number, details of guarantors, details of next of kin;
- (c) compensation information including but not limited to such as amount, currency, number of payments per year, bank account details, income tax file numbers, income range; details of applicable bonus, incentives and commission plans, types of loans applied for previously, credit ratings;
- (d) any such information as we deem necessary or appropriate from time to time in connection with your commercial relationship with us (collectively, "**Personal Data**").

To the extent that you voluntarily disclose to us any personal information whether or not coming within the definition of Personal Data above, of another individual, by such disclosure you confirm that you have obtained such individual's consent for the disclosure as well as the Processing of the same in accordance with the terms of this notification.

**2. Sources of Information**

Your Personal Data has and / or will be obtained from the information provided or submitted by you through our prescribed forms, publicly available or publicly accessible information, information obtained from banks, credit bureaus, and other credit reporting agencies, such other written or verbal communications or documents delivered to us prior to and during the course of our contractual or pre-contractual dealings with you.

**3. Purpose of Processing Personal Data**

You consent to your Personal Data being Processed by us for purposes of the Financing, including, but not limited to (i) the provision of our Financing services to you; (ii) preparation and entry into of hire purchase, lease, facility, loan or other agreements pertaining to the Financing or otherwise; (iii) customer relationship management or servicing procedures; (iv) those purposes specifically provided for in any particular service or product offered by us; (v) credit assessments, evaluations, and approvals and other background checks as we may determine to be necessary or appropriate; (vi) internal record keeping; (vii) collection of debts and/or loans from clients and customers; (viii) processing payments and/or refunds; (ix) repossession of equipment or assets; (x) marketing and client profiling activities in connection with our services and related Caterpillar product offerings; and (xi) research, benchmarking, and statistical analysis purposes.

**4. Storage and Security**

We store your Personal Data in hard and/or soft copy. Soft copy data is stored on, amongst others, the file server, e-mail server, cloud-based servers, mobile devices, personal computers, notebooks, tapes and compact disc. There are corporate security policies and procedures in place which among others confines access to your Personal Data to authorised personnel only on a need-to-know basis to the relevant departments including credit and operations, customer service, sales, risk management, corporate credit, marketing, product support, legal and administration departments of the Company. We may retain the Personal Data and that of other individuals that you provide to us for a reasonable period in accordance with our prevailing corporate policies and at all times subject to legal requirements.

**5. Disclosure**

You consent to your Personal Data being disclosed, on a confidential basis, to affiliates, subsidiaries, divisions and entities within the Caterpillar Inc group ("**Caterpillar**") and its employees, our professional advisors (including auditors, legal advisors, tax consultants, insurance consultants and such other advisors), our business or joint venture partners, rating agencies, insurers or insurance brokers, third party service providers (including repossession or debt collection vendors or external data processors), courier companies, our corporate banks, Caterpillar dealers, whether such person is in the Philippines or elsewhere, where such disclosure is for purposes of processing, assessing or granting of the Financing, the extension of credit or other services of Caterpillar to you, giving effect to any rights or obligations under a legal agreement entered into with you, or for analyzing, improving, marketing or analyzing pipeline opportunities for Caterpillar products and services. You authorize us to obtain from credit bureaus, other credit reporting agencies any credit, financial, or other personal data related to you (including credit information organizations) as we may require for purposes of the Financing, and to contact you regarding the Financing and/or other Caterpillar products and services including via facsimile, e-mail, phone calls, text messages (whether SMS or other instant messaging platform) or other media. You consent to us disclosing to credit bureaus or other reporting agencies such of your Personal Data or other relevant information (including corporate information of your business, financial, credit, contract performance, payment history or past due information) as we consider necessary in connection with the Financing or otherwise. Please rest assured that consistent with the law, we will only disclose the minimum amount of information which we deem necessary for the purpose and that we will take all appropriate safeguards to ensure the integrity and security of the personal data.

**6. Data Transfer & Sharing**

In addition, you will appreciate that the nature of our products and services are such that it may be necessary, where we consider it appropriate, for the purposes of data storage or processing or providing any service or product on our behalf to you, to transfer your Personal Data to our affiliates and / or associated companies and/or third party service or product providers within or outside the country in which we are established through a variety of media including electronic database platforms, cloud computing and mobile devices, under conditions of confidentiality or similar levels of safeguards.

**7. Impact resulting from failure to supply Personal Data**

It is obligatory for you to provide all the categories of Personal Data requested. You acknowledge and agree that the Personal Data which you have provided or will provide to us is relevant and necessary for our grant of the Financing. You have the right at any time to withdraw your consent by giving us notice in writing. In the event that we do not receive your signed consent hereunder or if such consent is withdrawn as aforesaid, and we are of the opinion that the absence or withdrawal of consent impedes or affects in any way the grant of the Financing, we have the right to recall, terminate and/or cancel the Financing, without further notice to you and/or without any justification thereof. We shall not be held liable for any loss, damages, cost or expenses suffered by you (whether directly or indirectly) in the event of us recalling, terminating and/or cancelling the Financing as a result thereof. The withdrawal of your consent will also render us unable to send you information via email or telecommunication of any of the services offered by us and our affiliated business partners.

**8. Your rights of access and correction**

You have the right to request for access to your Personal Data stored with us, and for your Personal Data to be updated, amended or corrected if there are any changes, inaccuracies or error by contacting us at Caterpillar Financial Services Philippines Inc, of 13 Economia Street, 1110 Bagumbayan, Quezon City, Manila Philippines, Telephone: (632) 8683 2001 to 2008, Fax: (632) 8637 2910 or Email: FPD.SMP.Compliance@cat.com. Please advise us of any change with regards to your Personal Data within 30 days.

Caterpillar may retain your Personal Data even after the full and final settlement of all monies outstanding under the Financing subject to deletion and/ or destruction in accordance with our internal information retention policies and guidelines. A copy of this Data Privacy Notification can be found on our website at [https://www.catfinancial.com/en\\_PH/legal-notices/data-privacy.html](https://www.catfinancial.com/en_PH/legal-notices/data-privacy.html).